



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

-----: Atty.#: SSR-P3

In Re U.S. Patent Application Of

FABLES, ET AL.

: Examiner:

Serial No.: 10/767509

: Group No: 2123

Filing Date: January 28, 2004

Title: CULTURAL SIMULATION MODEL ...

<u>INFORMATION DISCLOSURE STATEMENT</u>

Mail Stop: <u>AMENDMENT</u> Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement is filed in accordance with 37 C.F.R. 1.56, 1.97, and 1.98. The reference(s) listed on the appended PTO IDS Form may be deemed pertinent to the above-identified U.S. patent application and is(are) made of record to assist the U.S. Patent Office in its examination of the same.

No fee is deemed to be required with this Statement, under 37 CFR 1.97(b), since it is being filed before the mailing of a first Office Action on the merits.

No fee as set forth in 37 CFR 1.17(p) is required to be submitted with this Statement, under 37 CFR 1.97(c), since it is being filed before the mailing date of any final action, notice of allowance, or close of prosecution in the application, and is accompanied by the following certification under 37 CFR 1.97(e):

That no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the person signing this certification after making reasonable inquiry, no item of

information contained in the Information Disclosure Statement, was known to any individual designated in 37 CFR 1.56(c) more than 3 months prior to the filing of this Statement.

CERTIFICATE OF MAILING:

The undersigned certifies that the foregoing is being mailed on <u>February 2, 2005</u>, by depositing it with the U.S. Postal Service, first class postage paid, addressed to Mail Stop: <u>IDS</u>, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Respectfully submitted, ATTORNEYS FOR APPLICANT

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PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
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Under the Paper

Sub	ostitute for form 1449A/PTO			Complete if Known			
				Application Number	10/767.509		
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S	TATEMENT BY	APPLIC	CANT	First Named Inventor	Fables et al.		
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Sheet	1	of	2	Attorney Docket Number	SSR-P3		

			U.S. PATENT D	OCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number-Kind Code ^{2 (if known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
***************************************		US-6,154,731 US-6,292,830	11-28-2000	Monks	
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FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ Number ⁴ 'Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶		
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Examiner	Date	
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Transation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Approved for use through 06/30/2006, OMB 0651-0031

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Substitute for fo	orm 1449B/PTO			Complete if Known			
				Application Number	10/767,509		
INFOR	MATION D	DISCLOS	SURE	Filing Date	Jan. 28, 2004		
STATEMENT BY APPLICANT				First Named Inventor	Fabels et al		
				Art Unit	2123		
(Use as many sheets as necessary)				Examiner Name			
Sheet	2	of	2	Attorney Docket Number	SSR-P3		

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		"Culture Formation and Its Issues in Personal Agent-Oriented Virtual Society", K. Matsuda et al, CVE 2002 ACM, 2 Oct 2002, pp. 17-24	
	************	"Embodied Agents for Multi-Party Dialog in Immersive Virtual Worlds", Traum et al, AAMAS '02 ACM, 15 Jul	
	***********	2002, pp. 766-773 "Reinforcement Learning Rules in a Repeated Game", A. Bell, Computational Economics 18,p89-111, 2001.	
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Drawline through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.